



Receipt
PATENT #5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 09/965,685
Filing Date: 09/27/2001
Applicant: HASEGAWA et al
Group Art Unit: Not yet assigned
Examiner: Not yet assigned
Title: METHOD FOR MANUFACTURING ELECTRO-
OPTICAL DEVICE
Attorney Docket: 9319S-000275

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Sir:

We acknowledge receipt of the Official Filing Receipt for the above-identified patent application. However, the title has been omitted. Please correct your records to show METHOD FOR MANUFACTURING ELECTRO-OPTICAL DEVICE as the title. This correction can be seen in red on the copy enclosed. Thank you for your assistance.

Respectfully submitted,

Dated: December 14, 2001

Harness, Dickey & Pierce, P.L.C.
P.O. Box 828
Bloomfield Hills, MI 48303
GGG/BEW/jah

By: 

G. Gregory Schivley
Reg. No. 27,382
Bryant E. Wade
Reg. No. 40,344

Seiko Epson Corporation



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/965,685	JAN 08 2002 09/27/2001		0.00	9319S-000275	6	1	1

CONFIRMATION NO. 2845

27572
HARNESS, DICKEY & PIERCE, PLC
P.O. BOX 828
BLOOMFIELD HILLS, MI 48303

FILING RECEIPT



OC00000007061805

Date Mailed: 11/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Satoshi Hasegawa, Suwa, JAPAN;
Yoshifumi Kobayashi, Suwa, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN 2000-300861 09/29/2000

If Required, Foreign Filing License Granted 11/13/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

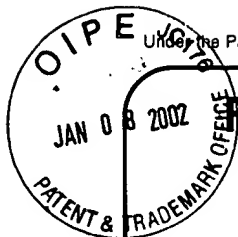
Non-Publication Request: No

Early Publication Request: No

Title

Unknown "METHOD FOR MANUFACTURING ELECTRO-OPTICAL
DEVICE"

Preliminary Class



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
This form displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002		Complete If Known	
		Application Number	09/965,685
Patent fees are subject to annual revision.		Filing Date	09/27/2001
		First Named Inventor	HASEGAWA et al
		Examiner Name	Not yet assigned
		Group / Art Unit	Not yet assigned
		Attorney Docket No.	9319S-000275
TOTAL AMOUNT OF PAYMENT (\$)		1000	

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)			
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: 08-0750 Deposit Account Name: Harness, Dickey & Pierce, P.L.C. <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES			
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other					
FEE CALCULATION					
1. BASIC FILING FEE					
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	201	740	370	Utility filing fee	740
106	206	330	165	Design filing fee	
107	207	510	255	Plant filing fee	
108	208	740	370	Reissue filing fee	
114	214	160	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 740)
2. EXTRA CLAIM FEES					
Total Claims	6	-20 **	= 0	X	18 = 0
Independent Claims	2	-3 **	= 0	X	84 = 0
Multiple Dependent	0		X	280 = 0	
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	
103	203	18	9	Claims in excess of 20	
102	202	84	42	Independent claims in excess of 3	
104	204	280	140	Multiple dependent claim, if not paid	
109	209	84	42	** Reissue independent claims over original patent	
110	210	18	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$ 0)
**or number previously paid, if greater; For Reissues, see above					
		Other fee (specify) _____			
		*Reduced by Basic Filing Fee Paid			
		SUBTOTAL (3) (\$ 260)			

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	G. Gregory Schinner / Bryant E. Wade	Registration No. Attorney/Agent	27,382 / 40,344
Signature		Telephone	248-641-1600
		Date	December 14, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/965,685	09/27/2001	Satoshi Hasegawa	9319S-000275

CONFIRMATION NO. 2845

27572
HARNES, DICKEY & PIERCE, PLC
P.O. BOX 828
BLOOMFIELD HILLS, MI 48303

FORMALITIES LETTER



OC000000007061806

Date Mailed: 11/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

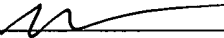
The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text)
see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

01/11/2002 ANAB11 00000103 09965685

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:139	130.00 OP

*A copy of this notice **MUST** be returned with the reply.*

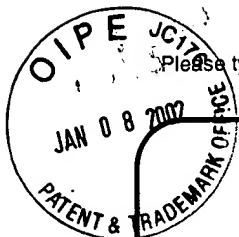


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2008070 09055660



Please type a plus sign (+) inside this box → ☐

HD

1 based on PTO/SB/21 (08-00)

#3

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/965,685
Filing Date	09/27/2001
First Named Inventor	HASEGAWA et al
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	9319S-000287

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☒ Certified Copy of Priority Document(s)

☒ Response to Missing Parts/Incomplete Application

☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)
(please identify below):

Certificate of Translation with English Translation of Application; Drawings (Figs. 1-7); Substitute Specification with Marked-up Specification; Preliminary Amendment; Request for Corrected Official Filing Receipt; and Return Receipt Postcard

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Harness, Dickey & Pierce, P.L.C.	Attorney Name G. Gregory Schivley / Bryant E. Wade	Reg. No. 27,382 / 40,344
Signature			
Date	December 14, 2001		

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.

Typed or printed name	G. Gregory Schivley / Bryant E. Wade	Date	December 14, 2001
Signature			